



# An upconversion $\text{NaYF}_4\text{:Yb}^{3+}, \text{Er}^{3+}/\text{TiO}_2$ core–shell nanoparticle photoelectrode for improved efficiencies of dye-sensitized solar cells

Jun Zhang<sup>a,\*</sup>, Haiou Shen<sup>a</sup>, Wei Guo<sup>b</sup>, Shunhao Wang<sup>a</sup>, Chuntao Zhu<sup>a</sup>, Fang Xue<sup>a</sup>, Jinfeng Hou<sup>c</sup>, Haiquan Su<sup>a</sup>, Zhuobin Yuan<sup>d</sup>

<sup>a</sup> School of Chemistry and Chemical Engineering, Inner Mongolia University, 235 West University Road, Hohhot 010021, China

<sup>b</sup> College of Environmental and Resource Sciences, Inner Mongolia University, Hohhot 010021, China

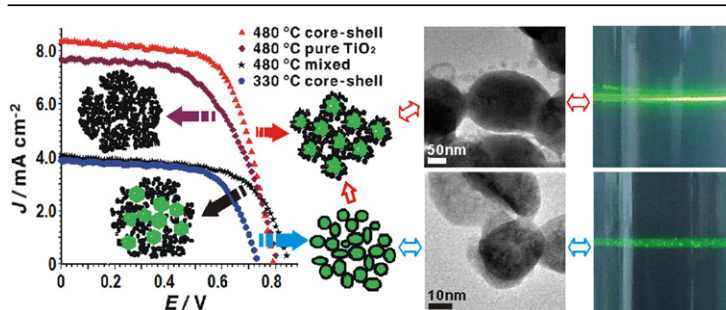
<sup>c</sup> Test Center, Inner Mongolia University of Technology, Hohhot 010051, China

<sup>d</sup> College of Chemistry and Chemical Engineering, Graduate University of the Chinese Academy of Sciences, Beijing 100049, China

## HIGHLIGHTS

- $\text{NaYF}_4\text{:Yb}^{3+}, \text{Er}^{3+}/\text{TiO}_2$  core–shell nanoparticles as the photoelectrode of DSSCs.
- It can convert infrared to visible luminescence and retain semiconductor character.
- The DSSCs with this core–shell appeared the best photoelectric performances.

## GRAPHICAL ABSTRACT



## ARTICLE INFO

### Article history:

Received 7 August 2012

Received in revised form

21 October 2012

Accepted 22 October 2012

Available online 30 October 2012

### Keywords:

Upconversion

Core–shell nanoparticles

Photoelectrode

Dye-sensitized solar cells

## ABSTRACT

Novel upconversion  $\text{NaYF}_4\text{:Yb}^{3+}, \text{Er}^{3+}/\text{TiO}_2$  core–shell nanoparticles (NPs) are synthesized and used to prepare the photoelectrode (PE) of dye-sensitized solar cells (DSSCs). The morphology, structure, photoluminescence characterization of the  $\text{NaYF}_4\text{:Yb}^{3+}, \text{Er}^{3+}/\text{TiO}_2$  core–shell NPs and the photoelectric performance, alternating current impedance spectroscopy of DSSCs are characterized using transmission electron microscopy, X-ray diffraction, upconversion luminescence (UCL) spectrofluorimetry and electrochemistry. Compared with the pure  $\text{TiO}_2$  PE or the  $\text{NaYF}_4\text{:Yb}^{3+}, \text{Er}^{3+}$  upconversion NPs and  $\text{TiO}_2$  simply mixed prepared PE as the volume ratio of the core–shell structure, the DSSCs with the upconversion core–shell PE show a greater photovoltaic efficiency. The energy conversion efficiency of the DSSCs with a  $\text{NaYF}_4\text{:Yb}^{3+}, \text{Er}^{3+}/\text{TiO}_2$  PE is 23.1% higher than with a pure  $\text{TiO}_2$  PE and 99.1% higher than with a mixed PE using the same conditions. This enhancement is due to the UCL core extending the spectral response range of DSSCs to the infrared region and their particular shell structure, retaining its semiconductor character. This method represents a novel approach to increase the efficiencies of DSSCs.

© 2012 Elsevier B.V. All rights reserved.

## 1. Introduction

Clean, renewable energy utilization has recently been proposed as a main solution for global warming [1]. A dye-sensitized solar

cells (DSSCs), first designed by O'Reagan and Gratzel in 1991 [2], is a remarkable renewable energy system with low cost and a simple fabrication methodology. A DSSC comprises a photoelectrode (PE) of dye adsorbed on porous nanocrystalline  $\text{TiO}_2$ , a counter electrode of platinum or conductive polymer [3] and an electrolyte solution between the electrodes. The PE is one of the most important components in the DSSC because the amount of light absorbed in

\* Corresponding author. Tel./fax: +86 0471 4344372.

E-mail address: [zhangjundoc@sina.com](mailto:zhangjundoc@sina.com) (J. Zhang).

the DSSC is dependent on the absorption spectrum of the PE [4]. Generally, DSSCs with a dye-sensitized  $\text{TiO}_2$  PE have a maximum absorption in the visible region of the total incident solar irradiation [5,6], which means that approximately 50% of solar irradiation energy in the ultraviolet and infrared regions is not utilized [7]; therefore, the solar energy conversion efficiency of DSSCs is limited in these cases [8]. Accordingly, extending the spectral response range of DSSCs to the infrared or ultraviolet region is extremely important to increase the efficiency of DSSCs.

Upconversion, lanthanide-based NPs have received considerable attention because they can convert near-infrared (NIR) photons to visible light by absorbing low-energy photons and then emitting high-energy photons. They have been extensively studied to be used in flat-panel displays [9], solar cells [10], iatrical detection [11] and bio-imaging probes [12–14] because of advantages such as their upconversion luminescence (UCL) characteristics, high light penetration depth in tissues, no photo damage to living organisms and low background noise. Hexagonal phase sodium yttrium fluoride ( $\beta\text{-NaYF}_4$ ) crystals have been reported to be one of the most efficient upconversion host materials and have been widely studied [15,16]. The hexagonal phase  $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}$  core is the most efficient NIR-to-visible UCL material and exhibits a strong upconversion fluorescence [17–19]. In addition, the core-shell geometry makes possible the combination of core and shell characteristics, which further improves the function of devices and expands the range of potential applications. Practically, much effort has gone into the design and fabrication of nanocomposites with a core-shell structure [20–23]. To our knowledge, however, the usage of  $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}/\text{TiO}_2$  core-shell structure in DSSCs has not yet been reported in the literature.

In this work, a multifunctional  $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}/\text{TiO}_2$  core-shell structured nanocomposite was synthesized and used as a PE of DSSCs to investigate the possibility of increasing the spectral response of DSSCs for the first time. The combination of UCL materials and the  $\text{TiO}_2$  semiconductor not only has upconversion properties but also functions as a semiconductor. The morphology, structure and photoluminescence properties of the  $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}/\text{TiO}_2$  core-shell nanoparticles (NPs) and the photoelectric performance and alternating current (AC) impedance spectroscopy of DSSCs were characterized by transmission electron microscopy (TEM), X-ray diffraction (XRD), upconversion luminescence (UCL) spectrofluorimetry and electrochemistry. The enhancement of the photovoltaic efficiency of the novel upconversion core-shell PE of DSSCs was also investigated.

## 2. Experimental

### 2.1. Synthesis of $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}$ UCL NPs

$\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}$  UCL NPs were synthesized in oleylamine (OM) by thermolysis of rare earth trifluoroacetates ( $(\text{CF}_3\text{COO})_3\text{RE}$ ) and sodium trifluoroacetate ( $\text{CF}_3\text{COONa}$ ) precursors [24,25].  $\text{Y}_2\text{O}_3$  (0.39 mmol),  $\text{Na}_2\text{CO}_3$  (0.50 mmol),  $\text{Yb}_2\text{O}_3$  (0.10 mmol) and  $\text{Er}_2\text{O}_3$  (0.01 mmol) were dissolved in 10 mL of 50% aqueous trifluoroacetic acid at 80 °C. The trifluoroacetates were obtained from the slow evaporation of the residual water and acid. Then, 10 mL of OM was added. The reaction solution was heated to 120 °C and maintained at this temperature for 30 min to remove residual water and oxygen, during which the flask was purged periodically with dry argon. The resulting optically transparent solution was heated to 330 °C and maintained at this temperature for 1 h under an argon atmosphere to prepare  $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}$  UCL NPs. After this period, the reaction solution was cooled down to 80 °C.  $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}$  UCL NPs were precipitated by the addition of absolute ethanol. The products were isolated by centrifugation and washed with hexane

and deionized water. This procedure was repeated at least two more times. The resulting UCL NPs were dispersed in 25 mL of 5 mM cetyltrimethyl ammonium bromide (CTAB) isopropyl alcohol solution and stirred for 1 h. In the solution obtained, CTAB was absorbed to the surface of the  $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}$  UCL NPs as the cationic surfactants [26], causing the surface of the UCL NPs to become positive.

### 2.2. Synthesis of $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}/\text{TiO}_2$ core-shell NPs

The preparation of multifunctional  $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}/\text{TiO}_2$  core-shell NPs was as follows: an 18 mL of 8.2 mM isopropyl titanate isopropyl alcohol solution mixing with the same molecular acetylacetone (1:1, the molar ratio with the isopropyl titanate) served as the  $\text{TiO}_2$  precursor [27]. Then, 6 mL 50% isopropanol aqueous solution was added drop by drop under strong stirring. The reaction solution was heated to 80 °C and the prepared UCL NPs isopropyl alcohol solution containing CTAB was added. After the addition of 0.03 g of ammonium persulfate, the solution was refluxed at 80 °C for 90 min. A  $\text{TiO}_2$  shell was formed on the surface of the UCL NPs. The products were isolated by centrifugation and washed three times with absolute ethanol and deionized water.

### 2.3. Synthesis of $\text{TiO}_2$ NPs

The  $\text{TiO}_2$  NPs were synthesized using sol-gel and hydrolysis techniques from tetrabutyl titanate (97 wt%) precursor [28]. The typical preparation process was as follows: Tetrabutyl titanate was hydrolyzed in a pH = 3 ethanol aqueous solution under strong stirring, then dried at 80 °C in an oven for 2 h.

### 2.4. DSSC fabrication

$\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}/\text{TiO}_2$  core-shell NPs were used for slurry. The film electrodes were fabricated via the doctor-blade technique on FTO glass ( $14\ \Omega\ \text{sq}^{-1}$ , an average transmittance of 90% in the visible wavelength, Nippon Sheet Glass Co., Japan, active area =  $0.196\ \text{cm}^2$ ) [29]. After air drying, the electrodes for the DSSCs were annealed for 30 min at 100 °C, 330 °C and 480 °C and treated with a 0.1 M  $\text{TiCl}_4$  aqueous solution at 70 °C for 1 h. Following annealing for 30 min at 100 °C, 330 °C and 480 °C, the electrodes were immersed in a 0.5 mM solution of cis-bis(isothiocyanato)bis(2,2'-bipyridyl-4,4'-dicarboxylato)-ruthenium(II)-bis-etrabutyl ammonium (N719 dye, Solaronix) in ethanol for 24 h at room temperature in the dark [3]. Finally, a dye-sensitized solar cell was assembled by injecting a drop of electrolyte containing 0.05 M  $\text{I}_2$ , 0.5 M LiI, 0.6 M 1,2-dimethyl-3-propylimidazolium iodide, 0.5 M guanidine thiocyanate, and 0.5 M 4-tert-butylpyridine in 10 mL acetylacetone into the aperture between the  $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}/\text{TiO}_2$  PE and a platinized FTO glass counter electrode. The DSSC based on a pure  $\text{TiO}_2$  electrode or  $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}$  and  $\text{TiO}_2$  NPs (volume ratio similar to the core-shell) mixed electrode was prepared using the same method.

### 2.5. Characterization

TEM was used to study the NP morphology. TEM measurements were performed on a JEM-2010 (JEOL) microscope operated at 200 kV. Powder X-ray diffraction patterns were measured by XD-3 X-ray diffraction with Cu  $K_\alpha$  radiation ( $K_\alpha = 0.15406\ \text{nm}$ ) at 36 kV and 20 mA. Upconversion spectra of the core and core-shell NPs were detected using a FLS920 fluorescence spectrophotometer. A 980 nm diode laser (0.8 W) was used when measuring the samples.

The photovoltaic testing of the DSSCs was performed by measuring the photocurrent density-cell potential curves using a CHI660C electrochemical device under simulated AM 1.5 solar

illumination at  $100 \text{ mW cm}^{-2}$  from a xenon lamp in ambient atmosphere before and after using an IR-cut filter ( $750 \pm 5 \text{ nm}$  at  $T = 50\%$ ,  $400\text{--}720 \text{ nm}$  at  $T > 85\%$ ,  $780\text{--}1100 \text{ nm}$  at  $T < 0.1\%$ , Giaiphotonics Co., China). The fill factor and overall light-to-electrical energy conversion efficiency of the DSSCs were calculated according to the equations in reference [30]. AC impedance spectra of the DSSCs with different PEs were measured using a CHI660C electrochemical device assembled with a platinized FTO glass electrode as the counter electrode under simulated AM 1.5 solar illumination at  $100 \text{ mW cm}^{-2}$  from a xenon lamp in an ambient atmosphere. Initial  $E$  = open-circuit voltage of each cell, high frequency =  $1 \times 10^5 \text{ Hz}$ , low frequency =  $0.05 \text{ Hz}$ , amplitude =  $0.005 \text{ V}$ , and quiet time =  $2 \text{ s}$ .

### 3. Results and discussion

#### 3.1. Morphology and structure characterizations

Fig. 1 shows the TEM images of  $\text{TiO}_2$  and  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}/\text{TiO}_2$  core-shell NPs at different annealing temperatures. When annealed at  $100^\circ\text{C}$  (Fig. 1a), the  $\text{TiO}_2$  NPs were determined to be approximately  $10\text{--}20 \text{ nm}$  in diameter. At higher annealing temperatures (Fig. 1b, c), the  $\text{TiO}_2$  NPs agglomerated to  $20\text{--}30 \text{ nm}$  in size at  $330^\circ\text{C}$  and greater than  $30 \text{ nm}$  with numerous approximately  $10 \text{ nm}$  particles at  $480^\circ\text{C}$ . Meanwhile, the  $\text{TiO}_2$  agglomeration changed from homogeneous at  $330^\circ\text{C}$  to heterogeneous at  $480^\circ\text{C}$ , which could be due to the changing of the crystal lattice or the loss of residual water molecules and organic groups during the annealing process.

The core-shell structures of the  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}/\text{TiO}_2$  core-shell NPs annealed at  $100^\circ\text{C}$ ,  $330^\circ\text{C}$  and  $480^\circ\text{C}$  were clearly observed in the TEM images shown in Fig. 1d–l. As the annealing temperature increased, the shell changed from uniform  $\text{TiO}_2$  lamella to disrupted  $\text{TiO}_2$  NPs enwrapping the  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}$  core. The TEM image shows that the  $\text{TiO}_2$  shell was thin and uniform, with a thickness of approximately  $3\text{--}4 \text{ nm}$ , which is much smaller than the diameter of the  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}$  NPs annealed at  $100^\circ\text{C}$  (Fig. 1e, in circle). After annealing at  $330^\circ\text{C}$ , the  $\text{TiO}_2$  shell of the  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}/\text{TiO}_2$  core-shell became thicker and irregular: it had a thicker shell on part of the particles and a thinner shell on most of the particles (Fig. 1h, in circle). Moreover, some sections of the outermost layer of the  $\text{TiO}_2$  shell chapped and formed a shell of  $10\text{--}30 \text{ nm}$   $\text{TiO}_2$  NPs enwrapping the  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}$  core (refer to the arrows in Fig. 1k, l). At some areas of the core surface, the  $\text{TiO}_2$  shell became much thinner, such that the  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}$  core was almost bare; light was easily transmitted at these areas. This result was likely due to two factors in the shell's structural evolution: the change of the crystallite shape of the  $\text{TiO}_2$  shell and the increase in the  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}$  core size [31]. The shell annealed at  $100^\circ\text{C}$  was composed of lots of dispersing crystalline regions with the lattice spacing from  $0.342 \text{ nm}$  to  $0.354 \text{ nm}$  determined by a selected area fast Fourier transform (FFT) pattern (the inset of Fig. 1f). The lattice arrangement of the shell annealed at  $330^\circ\text{C}$  was regular with a lattice spacing of  $0.351 \text{ nm}$  (also determined by the FFT pattern in the inset of Fig. 1i) and corresponded to the lattice spacing of the  $\{101\}$  plane of the anatase phase. For the  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}$  core annealed at  $100^\circ\text{C}$  and  $330^\circ\text{C}$  (Fig. 1d–h), most particles observed were approximately  $20\text{--}40 \text{ nm}$  in size and coexisted with a few smaller size particles; however, the size of the  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}$  core annealed at  $480^\circ\text{C}$  (Fig. 1j, k) increased to  $100\text{--}150 \text{ nm}$ . The increase in the core size could also be advantageous for the shell's morphological and structural evolution as the annealing temperature is increased.

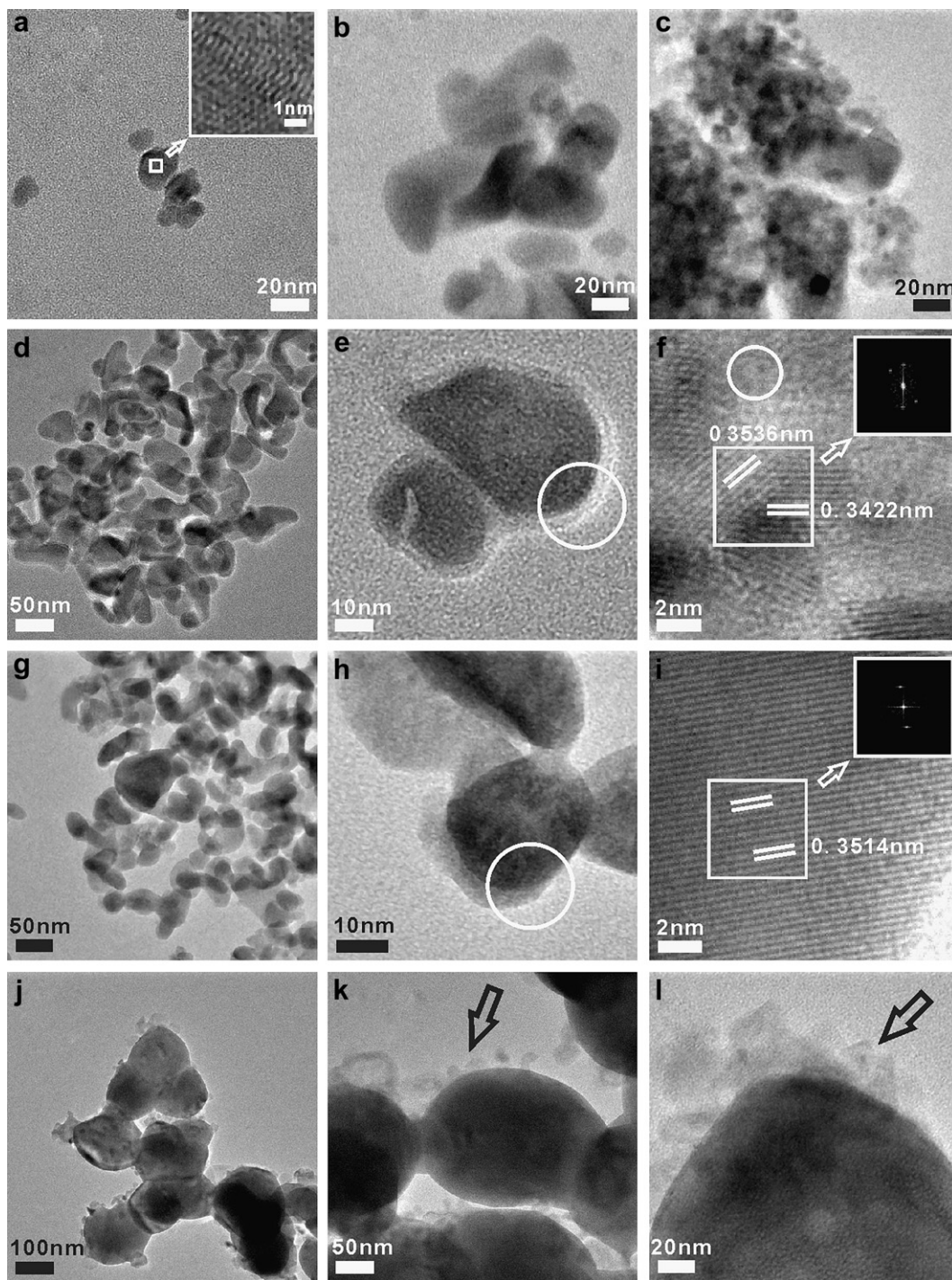
Fig. 2 shows the crystalline structures of the  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}/\text{TiO}_2$  core-shell (Fig. 2a), the  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}$  core (Fig. 2b) and

$\text{TiO}_2$  NPs (Fig. 2c) characterized using the powder XRD technique. Anatase phase gradually formed in the  $\text{TiO}_2$  NPs (Fig. 2c) when the annealing temperature increased from  $100^\circ\text{C}$  to  $330^\circ\text{C}$  and completely transformed into pure anatase when annealed at  $480^\circ\text{C}$ , as determined from the appearance of the anatase  $\text{TiO}_2$  peaks confirmed by the Joint Committee on Powder Diffraction Standards Card File No. 21-1272 (JCPDS 21-1272) from  $100^\circ\text{C}$  to  $330^\circ\text{C}$  and the disappearance of non anatase diffraction peaks (Fig. 2c, arrows) from  $330^\circ\text{C}$  to  $480^\circ\text{C}$ . From the XRD pattern of the  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}$  core in Fig. 2b, the samples were observed to be well crystallized, and the diffraction peaks matched the standard pattern of the highly crystalline hexagonal phase of the  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}$  crystals (JCPDS 28-1192) at different annealing temperatures, with the exception of a small presence of NaF impurity in the sample at  $480^\circ\text{C}$  (Fig. 2b, arrows). From Fig. 2a–c, the diffraction peaks of the crystalline hexagonal phase of the  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}$  core and the  $\text{TiO}_2$  shell with anatase characteristics were observed simultaneously. From the XRD patterns of  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}/\text{TiO}_2$  core-shell annealed at  $330^\circ\text{C}$ , diffraction peaks of anatase  $\text{TiO}_2$  were observed beside the crystalline hexagonal phase  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}$  core diffraction peaks, which confirmed the coexistence of the core and shell, as shown in Fig. 1h and i. For the  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}/\text{TiO}_2$  core-shell annealed at  $480^\circ\text{C}$ , the diffraction peaks of the  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}/\text{TiO}_2$  core were weaker, and the diffraction peaks of the anatase  $\text{TiO}_2$  shell were higher and sharper than at  $330^\circ\text{C}$ , indicating that the bulky core formed and thus weakened the contribution of the unit volume core. The shell remained as anatase  $\text{TiO}_2$ , and the anatase  $\text{TiO}_2$  surface exposure was increased according to the structural change of the core and shell, as shown in Fig. 1j–l. The  $27.8^\circ$  peak (Fig. 2a, arrow) was a sign of rare earth elements in combination with titanium sodium compounds ( $\text{Na}_5\text{Ti}_3\text{F}_{14}$ , JCPDS 27-0816) and indicated that the  $\text{TiO}_2$  shell and the  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}$  NP core were chemically combined and not mixed or in simple contact in the core-shell structure at  $480^\circ\text{C}$ , as shown in Fig. 1j–l.

#### 3.2. Photoluminescence characteristics (PL)

Fig. 3 shows the room temperature upconversion fluorescence spectra of  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}$  and  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}/\text{TiO}_2$  core-shell NPs in powder and their photographs in solution under NIR excitation ( $980 \text{ nm}$ ). The visible luminescence spectrum of the  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}$  NPs reveals two emission bands in the green ( $525, 542 \text{ nm}$ ) and red ( $655 \text{ nm}$ ) spectral regions (Fig. 3e), which can be attributed to the  $^2\text{H}_{11/2}, ^4\text{S}_{3/2} \rightarrow ^4\text{I}_{15/2}$  (green) and  $^4\text{F}_{9/2} \rightarrow ^4\text{I}_{15/2}$  (red) transitions of  $\text{Er}^{3+}$  ions [11,31]. The intensity of the green emission is stronger than that of the red emission. From  $100^\circ\text{C}$  to  $480^\circ\text{C}$ , the fluorescence intensity of the  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}$  core was gradually enhanced (Fig. 3e–h), which appeared green rather than red because the human naked eye is more sensitive to green color than red, as shown in these photographs.

After the  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}$  NPs were coated with a  $\text{TiO}_2$  layer, their visible luminescence spectrum retained the same emission bands as the cores, and the fluorescence intensity decreased to some extent because of the light-reflecting, light-refracting and light-scattering effect on both the emission and incident light by the  $\text{TiO}_2$  layer (Fig. 3a). It is well recognized that the emission of rare earth ions will be quenched to some extent in the environments that have a high phonon frequency [32]. The organic groups in CTAB that have tremendous vibration frequencies from  $1000$  to  $3500 \text{ cm}^{-1}$  will quench the emission of  $\text{Er}^{3+}$  to a great extent in the  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}/\text{TiO}_2$  core-shell system for  $100^\circ\text{C}$  and  $330^\circ\text{C}$ . The penetrability of a long wave is known to be superior to a shorter wave. For  $100^\circ\text{C}$  and  $330^\circ\text{C}$ , the short wave part of the green spectral region ( $525, 542 \text{ nm}$ ) was mostly quenched by CTAB and



**Fig. 1.** TEM images of  $\text{TiO}_2$  NPs annealed at (a) 100 °C, (b) 330 °C and (c) 480 °C and  $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}/\text{TiO}_2$  core-shell NPs annealed at (d–f) 100 °C, (g–i) 330 °C and (j–l) 480 °C with bars of different scales. The insets show the fast Fourier transform (FFT) patterns of the selected areas in (f) and (i).

then the remainder reflected by the tight  $\text{TiO}_2$  shell and severely weakened, with only wavelengths greater than 660 nm partly penetrating the shell. Very faint emissions visible to the naked eye could be detected under an NIR laser, as shown in Fig. 3b and c. However, the fluorescence intensity of the core-shell annealed at 480 °C became remarkably strong (Fig. 3d) due to the  $\text{TiO}_2$  shell chapping (Fig. 1j–l) and the residual CTAB being removed with its thermal decomposition. In addition, with the increase in temperature, the size of the particles became larger, which could

remarkably increase the fluorescence intensity of the core [33]. The gradually enhanced fluorescence intensity of the  $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}/\text{TiO}_2$  core-shell with annealing temperature can be seen in Fig. 3a–d. Moreover, upon comparison of Fig. 3a with Fig. 3e, the intensity ratio of the green emission to the red emission was reduced after coating with the  $\text{TiO}_2$  shell, which may be due to the presence of a shell that changes some energy gap bridges that facilitate the nonradiative energy transfer of  $^4\text{I}_{13/2}-^4\text{F}_{9/2}$  and  $^4\text{I}_{15/2}-^4\text{I}_{11/2}$  for  $\text{Er}^{3+}$  [11].

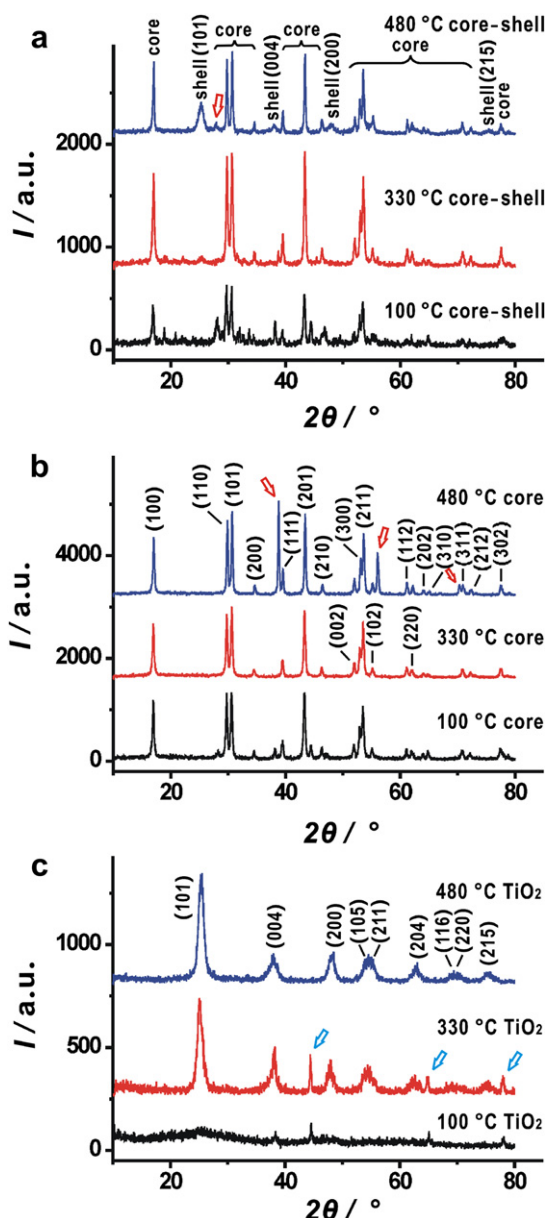


Fig. 2. XRD patterns of the (a)  $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}/\text{TiO}_2$  core-shell, (b)  $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}$  core and (c)  $\text{TiO}_2$  NPs annealed at different temperatures.

### 3.3. Photoelectric performance of DSSCs with different PEs

Fig. 4 shows the photoelectric performance of DSSCs using the  $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}/\text{TiO}_2$  core-shell, mixed and pure  $\text{TiO}_2$  PEs. The best photoelectric properties occurred after annealing at 480 °C. Here, the short-circuit photocurrent density ( $J_{\text{sc}}$ ), fill factors (FF) and photoelectric conversion efficiency ( $\eta$ ) of the  $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}/\text{TiO}_2$  core-shell PE were found to be higher than those of both the pure  $\text{TiO}_2$  and mixed PEs that were formed with the same annealing temperature (480 °C). However, the open-circuit voltage ( $V_{\text{oc}}$ ) of the mixed PE was the highest among these electrodes (listed in Table 1). The energy conversion efficiency of the DSSCs with a  $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}/\text{TiO}_2$  core-shell PE annealed at 480 °C was 23.1% higher than with a pure  $\text{TiO}_2$  PE and 99.1% higher than with a mixed PE using the same conditions due to the special  $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}/\text{TiO}_2$  core-shell structure, which extended the spectral response range of the DSSC and improved the light utilization rate of the

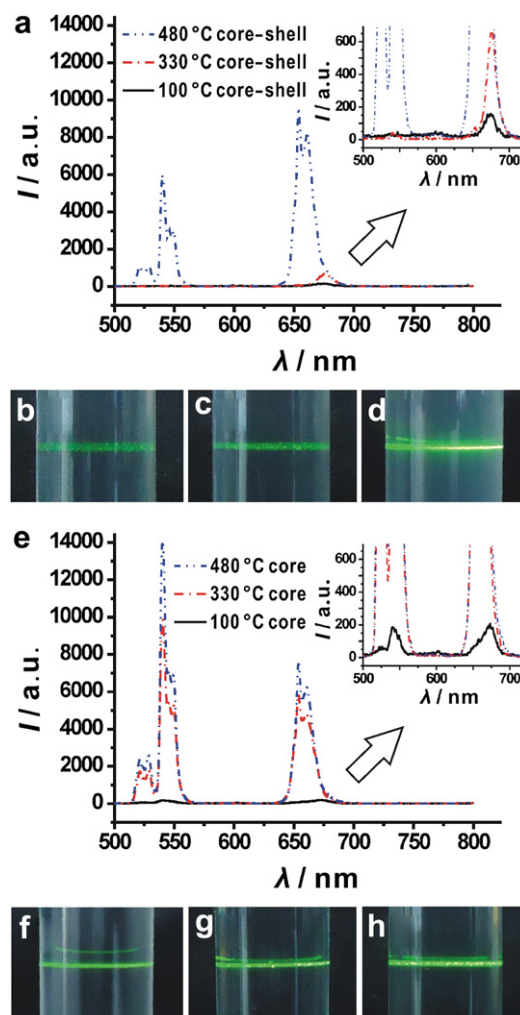


Fig. 3. Upconversion fluorescence spectra of the (a)  $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}/\text{TiO}_2$  core-shell and (e)  $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}$  core NPs annealed at 100 °C, 330 °C or 480 °C (the insets are enlarged sections of the graphs) and their photographs: (b) 100 °C, (c) 330 °C and (d) 480 °C core-shell; (f) 100 °C, (g) 330 °C and (h) 480 °C core. All samples were excited with a 980 nm laser diode.

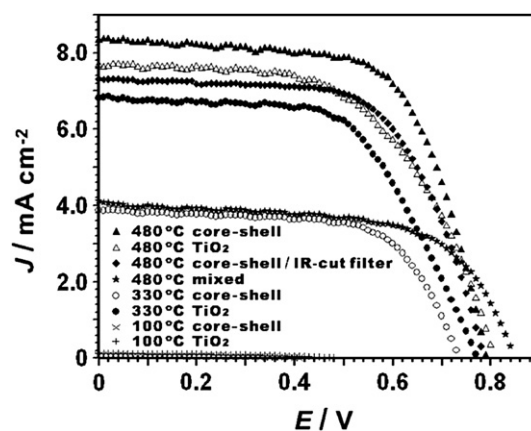


Fig. 4. Photocurrent density ( $j$ )-cell potential ( $E$ ) curves of the DSSCs based on pure  $\text{TiO}_2$  and  $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}/\text{TiO}_2$  core-shell PEs annealed at 100 °C, 330 °C and 480 °C and the mixed PE annealed at 480 °C measured before using the IR-cut filter, and the  $\text{NaYF}_4:\text{Yb}^{3+},\text{Er}^{3+}/\text{TiO}_2$  core-shell PE annealed at 480 °C measured after using the IR-cut filter. The measurements were recorded under  $100 \text{ mW cm}^{-2}$  illumination using linear sweep voltammetry with an initial  $E = 0 \text{ V}$ , a final  $E = 0.9 \text{ V}$  and a scan rate =  $25 \text{ mV s}^{-1}$ .

**Table 1**

The photovoltaic parameters of the DSSCs based on the pure TiO<sub>2</sub> and NaYF<sub>4</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup>/TiO<sub>2</sub> core-shell PEs annealed at 100 °C, 330 °C and 480 °C and the mixed PE annealed at 480 °C measured before using the IR-cut filter, and the NaYF<sub>4</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup>/TiO<sub>2</sub> core-shell PE annealed at 480 °C measured after using the IR-cut filter.

Electrode	$J_{sc}/\text{mA cm}^{-2}$	$V_{oc}/\text{V}$	FF	$\eta/\%$
100 °C pure TiO <sub>2</sub>	0.119	0.481	0.41	0.02
330 °C pure TiO <sub>2</sub>	6.821	0.773	0.59	3.12
480 °C pure TiO <sub>2</sub>	7.684	0.806	0.56	3.51
100 °C core-shell	0.063	0.419	0.38	0.01
330 °C core-shell	3.876	0.736	0.65	1.85
480 °C core-shell	8.321	0.791	0.66	4.32
480 °C core-shell/IR-cut filter	7.321	0.785	0.64	3.66
480 °C mixed	4.082	0.849	0.63	2.17

DSSC as a result of the NaYF<sub>4</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup> core. Additionally, the TiO<sub>2</sub> shell endowed the core-shell NPs with the TiO<sub>2</sub> nature. The TiO<sub>2</sub> net structure remains in the PE, as shown in Fig. 1j and k, which could be the intrinsic reason why the core-shell PE performed so well comparing with the mixed PE. In the latter the TiO<sub>2</sub> net structure was destroyed owing to the accumulation of nonconductor NaYF<sub>4</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup> NPs. The electron transfer was often blocked in the mixed PE and resulted in the lowest  $J_{sc}$  and  $\eta$  of DSSCs. In order to identify that the enhancement of solar cell efficiency was due to nothing else than upconversion, an IR-cut filter which can eliminate the light with wavelengths longer than 750 nm was used to measure the photoelectric properties of the NaYF<sub>4</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup>/TiO<sub>2</sub> core-shell PE annealed at 480 °C. As shown in Fig. 4 and listed in Table 1, before and after using the IR-cut filter, the energy conversion efficiency of the DSSC was improved about 18.1%. It evidences that the long-wavelength light really contributes to increasing the DSSCs' efficiency due to upconversion.

The photoelectric performances of DSSCs with the NaYF<sub>4</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup>/TiO<sub>2</sub> core-shell and pure TiO<sub>2</sub> PEs at different annealing temperatures are shown in Fig. 4. As the annealing temperature increased, the residual water or organic molecules in the NPs would burn off, and the NPs would link more closely to each other during the annealing process. The electron transfer resistance in NPs would decrease, and the photoelectric performances of the DSSCs would increase, whether with the NaYF<sub>4</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup>/TiO<sub>2</sub> core-shell or pure TiO<sub>2</sub> PE [34]. However, the  $J_{sc}$ ,  $V_{oc}$ , FF and  $\eta$  of DSSCs based on the NaYF<sub>4</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup>/TiO<sub>2</sub> PE at 100 °C and 330 °C were all found to be lower than those measured with the pure TiO<sub>2</sub> PE. This result may be attributed to the non-conducting NaYF<sub>4</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup> core and the residual CTAB in the tight TiO<sub>2</sub> shell for the NaYF<sub>4</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup>/TiO<sub>2</sub> PE. Charge transfer was only permitted to take place in the TiO<sub>2</sub> shell, which weakened the conductivity of the NPs. In addition, UCL, especially the short wave part of the green spectral region (525, 542 nm), was severely weakened by the residual CTAB and tight TiO<sub>2</sub> shell (Fig. 3a). In fact, the absorption range of the N719 dye in the green spectral region (~500–600 nm) was more effective in the photoelectric performances of DSSCs than that in the red spectral region (655–660 nm) [35]. Here, the increase in the photoelectric performances by upconversion of the core was a secondary factor when compared with the counter effectiveness of the non-conductive core. Together, lower photoelectric performances than those of pure TiO<sub>2</sub> PE at 100 °C and 330 °C were obtained. When the annealing temperature was increased to 480 °C, the new structure of small TiO<sub>2</sub> NPs enwrapping a large UCL NP core (Fig. 1k, l) gradually formed in the NaYF<sub>4</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup>/TiO<sub>2</sub> PE. UCL, especially the short wave part of the green spectral region (525, 542 nm) could resume and transmit easily through its new NP shell. As such, the increase in photoelectric performances by upconversion of the core became

the primary factor in comparison with the counter effectiveness of a non-conductive core; the  $J_{sc}$ , FF and  $\eta$  of DSSCs based on NaYF<sub>4</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup>/TiO<sub>2</sub> PE are all higher than those of the pure TiO<sub>2</sub> PE (listed in Table 1).

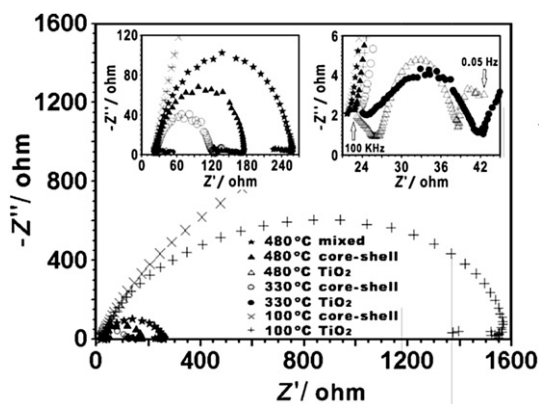
### 3.4. AC impedance spectra of DSSCs with different PEs

AC impedance spectroscopy is a powerful method for investigating internal resistances and charge transfer processes in electrochemical systems. The intermediate-frequency feature is attributed to the electron transfer resistance at the PEs [36]. The AC impedance spectra in Fig. 5 clearly show the semicircles in the intermediate-frequency region, ranging from several thousands Hz to 1 Hz for the CEs studied. The impedance values around 10 Hz for different PEs indicate that the electron transfer resistance for the NaYF<sub>4</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup> and TiO<sub>2</sub> mixed PE annealed at 480 °C was higher than those for the core-shell PE and the pure TiO<sub>2</sub> PE at the same annealing temperature, which may be attributed to the non-conductive NaYF<sub>4</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup> NPs and the discontinuity of the TiO<sub>2</sub> in the mixed PE, and the core-shell PE was higher than the pure TiO<sub>2</sub> PE at the same annealing temperature.

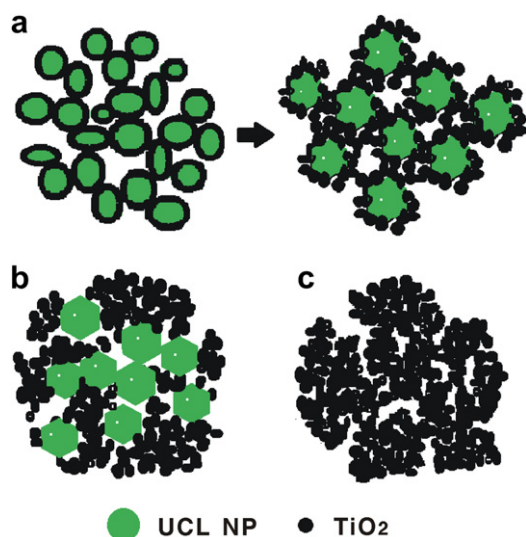
Fig. 5 shows that as the temperature increases from 100 °C to 480 °C, the impedance values around 10 Hz decrease for the pure TiO<sub>2</sub> PEs, as do those for the core-shell PEs from 100 °C to 330 °C. It should be attributed to the TiO<sub>2</sub> NPs or shells of PEs becoming more tightly connected with the organic impurity decomposing at the high temperature. For the core-shell PEs annealed at 480 °C, however, the non-conductive core grew larger, and the shell became small TiO<sub>2</sub> NPs or even discontinuous, such that the electron transfer was negatively affected.

### 3.5. Investigation of the formation mechanism and function of the new core-shell PE

Scheme 1 shows the structural schematics of PEs based on a NaYF<sub>4</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup>/TiO<sub>2</sub> core-shell and mixed and pure TiO<sub>2</sub> NPs, all annealed at 480 °C. The NaYF<sub>4</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup>/TiO<sub>2</sub> core-shell structure that was annealed at 480 °C showed an excellent capacity of converting infrared to visible luminescence and retained its semiconductor character. When the annealing temperature increased from 100 °C to 480 °C, combining larger particles were formed, the TiO<sub>2</sub> shell of the NaYF<sub>4</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup>/TiO<sub>2</sub> core-shell transformed from uniform TiO<sub>2</sub> lamella to disrupt TiO<sub>2</sub> NPs enwrapping the NaYF<sub>4</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup> core such that the upconversion core was exposed (Scheme 1a and Fig. 1k, l). Finally, the



**Fig. 5.** AC impedance spectra of DSSCs based on bare FTO, pure TiO<sub>2</sub>, NaYF<sub>4</sub>:Yb<sup>3+</sup>,Er<sup>3+</sup>/TiO<sub>2</sub> core-shell and mixed PEs under 100 mW cm<sup>-2</sup> illumination, initial  $E$  = open-circuit voltage of each cell, high freq = 100 kHz, low freq = 0.05 Hz, amplitude = 5 mV.



**Scheme 1.** Schematics of PEs based on the (a)  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}/\text{TiO}_2$  core-shell, (b) mixed and (c) pure  $\text{TiO}_2$  NPs annealed at  $480^\circ\text{C}$ .

structural evolution enhanced the fluorescence intensity of the material and extended the spectral response range of DSSCs to infrared radiation, which contributed to the enhancement of the DSSCs' efficiencies. In addition, the new core-shell structural design remained as the  $\text{TiO}_2$  net structure of the PE (Scheme 1a and Fig. 1k, l) and resulted in a better capability of electron transfer, which was the same important factor for the enhancement of the DSSCs' efficiencies. For the mixed PE, however, the UCL NPs and  $\text{TiO}_2$  NPs simply accumulated, and the connection between the semiconductor  $\text{TiO}_2$  NPs was obstructed by the nonconductor UCL NPs, which blocked electron transfer (Scheme 1b and Fig. 5). For the pure  $\text{TiO}_2$  PE, the infrared radiation was utilized to a much smaller degree because of the absence of UCL NPs, although its electron transfer properties were the best among all of the PEs because of the  $\text{TiO}_2$  net structure (Fig. 5 and Scheme 1c). As a result, the upconversion core-shell structure is more effective for efficiency enhancement of DSSCs than either the simple mixed or the pure  $\text{TiO}_2$  PE (Fig. 4 and Table 1).

#### 4. Conclusions

In this work, novel upconversion  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}/\text{TiO}_2$  core-shell structure NPs were successfully synthesized and used as a PE in DSSCs. This core-shell structure material was composed of an upconversion  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}$  core enwrapped by many  $\text{TiO}_2$  NPs. This core-shell structure showed an excellent capacity to convert infrared to visible luminescence and retain semiconductor character, which was used to fabricate a more efficient DSSC as opposed to using pure  $\text{TiO}_2$  NPs and a simple mixture. When using this specific core-shell structure, the  $\text{NaYF}_4:\text{Yb}^{3+}, \text{Er}^{3+}$  core can absorb and utilize the infrared light, and at the same time, the  $\text{TiO}_2$  shell net can effectively transmit the electrons in the PE; both improved the conversion efficiency of the DSSCs.

However, this single upconversion material still has some deficiencies, such as a narrowband emission spectrum, and its conversion efficiency has room for improvement. Perhaps if the core were doped with more rare earth elements, a larger spectral range could be utilized from the absorption of a wider spectrum of infrared light and efficient conversion to wideband visible light.

UCL materials can play a more important role in DSSCs, which will be the next focus of our research.

#### Acknowledgements

This work was partially supported by the National Natural Science Foundation of China (21165011, 20965004, 40861018), the "Chunhui Program" of the Ministry of Education (Z2007-1-01039) and the Natural Science Foundation of the Inner Mongolia Autonomous Region (200607010210, 20080404MS0611, 2011BS0202).

#### References

- [1] A. Kumar, T.C. Kandpal, *Energy* 32 (2007) 861–870.
- [2] B. O'Regan, M. Grätzel, *Nature* 353 (1991) 737–740.
- [3] J. Zhang, X.X. Li, W. Guo, T.B.X. Hreid, J.F. Hou, H.Q. Su, Z.B. Yuan, *Electrochimica Acta* 56 (2011) 3147–3152.
- [4] Q. Yao, J. Liu, Q. Peng, X. Wang, Y. Li, *Chemistry – An Asian Journal* 1 (2006) 737–741.
- [5] Q.F. Zhang, G.Z. Cao, *Nano Today* 6 (2011) 91–109.
- [6] H. Hafez, J. Wu, Z. Lan, Q. Li, G. Xie, J. Lin, M. Huang, Y. Huang, M.S.A. Abdel-Mottaleb, *Nanotechnology* 21 (2010) 415201/1–415201/6.
- [7] J.C.G. Bünzli, S.V. Eliseeva, *Journal of Rare Earths* 28 (2010) 824–842.
- [8] H. Hafez, M. Saif, M.S.A. Abdel-Mottaleb, *Journal of Power Sources* 196 (2011) 5792–5796.
- [9] E. Downing, L. Hesselink, J. Ralston, R. Macfarlane, *Science* 273 (1996) 1185–1189.
- [10] A. Shalav, B.S. Richards, T. Trupke, K.W. Kramer, H.U. Gudel, *Applied Physics Letters* 86 (2005) 013505/1–013505/3.
- [11] J.F. Suyver, A. Aebischer, D. Biner, P. Gerner, J. Grimm, S. Heer, K.W. Kramer, C. Reinhard, H.U. Gudel, *Optical Materials* 27 (2005) 1111–1130.
- [12] H.S. Mader, P. Kele, S.M. Saleh, O.S. Wolfbeis, *Current Opinion in Chemical Biology* 14 (2010) 582–596.
- [13] F. Wang, D. Banerjee, Y. Liu, X. Chen, X. Liu, *Analyst* 135 (2010) 1839–1854.
- [14] F. Wang, X.G. Liu, *Chemical Society Reviews* 38 (2009) 976–989.
- [15] A. Aebischer, M. Hostettler, J. Hauser, K. Kramer, T. Weber, H.U. Gudel, H.B. Burgi, *Angewandte Chemie International Edition* 45 (2006) 2802–2806.
- [16] J.F. Suyver, A. Aebischer, S. Garcia-Revilla, P. Gerner, H.U. Gudel, *Physical Reviews B* 71 (2005) 125123/1–125123/9.
- [17] Y.J. Sun, Y. Chen, L.J. Tian, Y. Yu, X.G. Kong, J.W. Zhao, H. Zhang, *Nanotechnology* 18 (2007) 275609/1–275609/9.
- [18] F. Wang, X.G. Liu, *Journal of American Chemical Society* 130 (2008) 5642–5643.
- [19] K.A. Abel, J.C. Boyer, F.C.J.M. van Veggel, *Journal of American Chemical Society* 131 (2009) 14,644–14,645.
- [20] Y. Wang, L.P. Tu, J.W. Zhao, Y.J. Sun, X.G. Kong, H. Zhang, *Journal of Physical Chemistry C* 113 (2009) 7164–7169.
- [21] B. Dong, S. Xu, J. Sun, S. Bi, D. Li, X. Bai, Y. Wang, L.P. Wang, H.W. Song, *Journal of Materials Chemistry* 21 (2011) 6193–6200.
- [22] D.S. Zhang, D. Zhao, K.Z. Zheng, N. Liu, W.P. Qin, *Journal of Nanoscience and Nanotechnology* 11 (2011) 9761–9764.
- [23] K.A. Abel, J.C. Boyer, C.M. Andrei, F.C.J.M. van Veggel, *The Journal of Physical Chemistry Letters* 2 (2011) 185–189.
- [24] G.S. Yi, G.M. Chow, *Advanced Functional Materials* 16 (2006) 2324–2329.
- [25] W.B. Niu, S.L. Wu, S.F. Zhang, *Dalton Transactions* 40 (2011) 3305–3314.
- [26] Z.Q. Ai, G.L. Sun, Q.L. Zhou, C.S. Xie, *Journal of Applied Polymer Sciences* 102 (2006) 1466–1470.
- [27] T. Hirakawa, P.V. Kamat, *Journal of American Chemical Society* 127 (2005) 3928–3934.
- [28] X.B. Chen, S.S. Mao, *Chemical Reviews* 107 (2007) 2891–2959.
- [29] Z.P. Li, B.X. Ye, X.D. Hu, X.Y. Ma, X.P. Zhang, Y.Q. Deng, *Electrochemical Communications* 11 (2009) 1768–1771.
- [30] M. Grätzel, *Progress in Photovoltaics: Research and Applications* 8 (2000) 171–185.
- [31] F. Zhang, J. Li, J. Shan, L. Xu, D.Y. Zhao, *Chemistry – An European Journal* 15 (2009) 11,010–11,019.
- [32] X.J. Kang, Z.Y. Cheng, C.X. Li, D.M. Yang, M.M. Shang, P.A. Ma, G.G. Li, N. Liu, J. Lin, *Journal of Physical Chemistry C* 115 (2011) 15,801–15,811.
- [33] G.S. Yi, H.C. Lu, S.Y. Zhao, Y. Ge, W.J. Zhang, D.P. Chen, L.H. Guo, *Nano Letters* 4 (2004) 2191–2196.
- [34] L.J. Meng, C. Li, M.P. dos Santos, *Journal of Inorganic and Organometallic Polymers and Materials* 21 (2011) 770–776.
- [35] K.H. Park, E.M. Jin, H.B. Gu, S.D. Yoon, E.M. Han, J.J. Yun, *Applied Physics Letters* 97 (2010) 023302/1–023302/3.
- [36] Q. Wang, J.E. Moser, M. Grätzel, *Journal of Physical Chemistry B* 109 (2005) 14,945–14,953.